

PART B - FEE(S) TRANSMITTAL

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7590 04/10/2006

Timothy R. Schulte
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06/05/2006 EMAIL 00000026 194545 10750101

01 FC:1501 1400.00 DA
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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Stephanie Klepp (Depositor's name)
Stephanie Klepp (Signature)
30 May 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/750,101	12/29/2003	Shih-Li Hsu	2003-049-ICE	9599

TITLE OF INVENTION: CLOSED LOOP ADAPTIVE PRESTAGE METHOD, SYSTEM, AND PRODUCT FOR PRESTAGING CACHE BLOCKS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	07/10/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
MOAZZAMI, NASSER G	2187	711-137000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Yee & Associates, P.C.

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Storage Technology Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Louisville, Colorado

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 6

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☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-4545 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

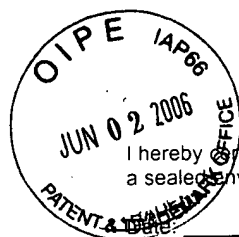
The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature *Timothy R. Schulte*
Typed or printed name Timothy R. Schulte

Date 2 May 2006
Registration No. 29,013

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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30 May 2006

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Stephanie Klepp
Printed Name: Stephanie Klepp

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: SHIH-LI HSU ET AL.

EXAMINER: N.G. Moazzami

SERIAL NO.: 10/750,101

ART UNIT: 2187

FILED: December 29, 2003

DATE OF NOTICE OF
ALLOWANCE: April 10, 2006

FOR: CLOSED LOOP ADAPTIVE PRESTAGE METHOD, SYSTEM, AND PRODUCT FOR
PRESTAGING CACHE BLOCKS

ISSUE FEE TRANSMITTAL

MAIL STOP ISSUE FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are the following documents related to the above-identified application:

☒ Acknowledgment of Receipt Card

☒ Formal drawings submitted to PTO
December 29, 2003

☒ Issue Fee Transmittal Form

☒ Please charge Deposit Account No. 19-4545 in the amount of \$1,418.00 (\$1,400.00 for Issue Fee and \$18.00 for Advance Order Fee).

☒ We believe no additional fees are required. If, however, additional fees are, indeed, required, the Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 19-4545.

☒ Two additional copies of this sheet are enclosed.

Dated: 2 May 2006

Respectfully submitted,

STORAGE TECHNOLOGY CORPORATION

By: Timothy R. Schulte

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